



## Form ADV Part 2b

Your registered investment advisers at Lee-Kelleher Wealth Management

**James Lee,** *CIMA<sup>®</sup>, CRPS<sup>™</sup>, CPFA<sup>™</sup>*

**Will Kelleher,** *CRPC<sup>™</sup>*

**Matthew Klein,** *CFA<sup>®</sup>, CFP<sup>®</sup>, CSRIC<sup>®</sup>*

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11810 Federal Street, Fulton, MD 20759

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301.450.2617

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[www.lkwealthmanagement.com](http://www.lkwealthmanagement.com)

This Brochure provides information about the qualifications and background of the registered investment adviser representative listed above. This brochure is a supplement to Lee-Kelleher Wealth Management's disclosure brochure which you should have received as well. If the reader has any questions about the contents of this Brochure, please contact the Chief Compliance Officer via email at [will@lkwealthmanagement.com](mailto:will@lkwealthmanagement.com) or via telephone at (301) 450-2617. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority. Additional information about Lee-Kelleher Wealth Management and its registered investment adviser representatives can be found on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Registration as an investment adviser representative does not imply any level of skill or training. The oral and written communications of an adviser provide information about which a prospective client might determine to hire or retain an adviser.

**Date: February 2, 2026**

# James Heng-Suk Lee

## CRD# 3057511

### **Educational Background and Business Experience**

**James Lee** (Born: 1962)

**Educational Background:** Syracuse University,  
B.S. - Business

**Professional Designations:** *CIMA*<sup>®</sup>, *CRPS*<sup>™</sup>, *CPFA*<sup>™</sup>

#### **Business Experience:**

2024 – Present	Lee-Kelleher Wealth Management Financial Advisor
2012 – 2024	Bank of America Financial Advisor
2011 – 2024	Merrill Lynch Financial Advisor

### **Disciplinary Information**

Mr. Lee has not been the subject of any legal or disciplinary events requiring disclosure.

### **Other Business Activities**

Mr. Lee does not engage in any outside business activities.

### **Additional Compensation**

Mr. Lee does not receive compensation from any investment-related business other than the activities reflected here.

### **Supervision**

William Kelleher serves as Chief Compliance Officer of Lee-Kelleher Wealth Management and is responsible for the overall supervision of advisor activity at the Firm. Mr. Kelleher can be reached at (301) 450-2617. LKWM's manner of supervision is a multi-tiered process. The Firm maintains a Code of Ethics to which all employees must subscribe. The Code of Ethics provides for the Firm's employees to exercise a fiduciary duty to its clients by acting in the best interest of the client and always placing the client's interests first and foremost.

# William Paul Kelleher

## CRD# 7480228

### **Educational Background and Business Experience**

**William Kelleher** (Born: 1993)

**Educational Background:** Penn State University,  
B.S. - Marketing

**Professional Designations:** *CRPC™*

#### **Business Experience:**

2024 – Present	Lee-Kelleher Wealth Management Financial Advisor
2021 – 2024	Bank of America Financial Advisor
2021 – 2024	Merrill Lynch Financial Advisor

### **Disciplinary Information**

Mr. Kelleher has not been the subject of any legal or disciplinary events requiring disclosure.

### **Other Business Activities**

Mr. Kelleher does not engage in any outside business activities.

### **Additional Compensation**

Mr. Kelleher does not receive compensation from any investment-related business other than the activities reflected here.

### **Supervision**

William Kelleher serves as Chief Compliance Officer of Lee-Kelleher Wealth Management and is responsible for the overall supervision of advisor activity at the Firm. Mr. Kelleher can be reached at (301) 450-2617. LKWM's manner of supervision is a multi-tiered process. The Firm maintains a Code of Ethics to which all employees must subscribe. The Code of Ethics provides for the Firm's employees to exercise a fiduciary duty to its clients by acting in the best interest of the client and always placing the client's interests first and foremost.

# Matthew Gerard Klein

## CRD# 5270362

### **Educational Background and Business Experience**

**Matthew Gerard Klein:** (Born: 1983)

**Educational Background:** University of Richmond  
B.S. – Business Administration

**Professional Designations:** CFA®, CFP®, CSRIC®

#### **Business Experience:**

2026 – Present Lee-Kelleher Wealth Management  
Wealth Management Advisor

2007 – 2026 Bank of America/Merrill Lynch  
Financial Advisor

### **Disciplinary Information**

Mr. Klein has not been the subject of any legal or disciplinary events requiring disclosure.

### **Other Business Activities**

Mr. Klein does not engage in any outside business activities.

### **Additional Compensation**

Mr. Klein does not receive compensation from any investment-related business other than the activities reflected here.

### **Supervision**

William Kelleher serves as Chief Compliance Officer of Lee-Kelleher Wealth Management and is responsible for the overall supervision of advisor activity at the Firm. Mr. Kelleher can be reached at (301) 450-2617. LKWM's manner of supervision is a multi-tiered process. The Firm maintains a Code of Ethics to which all employees must subscribe. The Code of Ethics provides for the Firm's employees to exercise a fiduciary duty to its clients by acting in the best interest of the client and always placing the client's interests first and foremost.

# DESIGNATIONS HELD BY OUR ADVISORS

## **Certified Investment Management Analyst®**

The Certified Investment Management Analyst® (CIMA®) designation is issued by the Investments & Wealth Institute. It is an advanced professional certification for financial professionals who provide investment management and portfolio construction services. To earn the CIMA® designation, candidates must complete an executive-level education program through an approved provider, pass a comprehensive proctored examination, and have at least three years of financial services experience. Designees must also adhere to the Institute's Code of Professional Responsibility and complete **40 hours of continuing education every two years** to maintain the credential.

## **Chartered Retirement Plans Specialist™**

The Chartered Retirement Plans Specialist™ (CRPS™) designation is issued by the College for Financial Planning®. The program focuses on the design, installation, and maintenance of retirement plans for businesses. To obtain the designation, individuals must complete a course of study, pass a final exam, and adhere to ongoing continuing-education and ethical standards required by the issuing organization.

## **Certified Plan Fiduciary Advisor™**

The Certified Plan Fiduciary Advisor™ (CPFA™) designation is awarded by the National Association of Plan Advisors (NAPA). It is intended for professionals who act as fiduciaries or advise employer-sponsored retirement plans. Candidates must complete required coursework, pass an examination demonstrating knowledge of fiduciary responsibilities, and meet ongoing continuing-education and ethical requirements to maintain the credential.

## **Chartered Retirement Planning Counselor™**

The Chartered Retirement Planning Counselor™ (CRPC®) designation is issued by the College for Financial Planning®. Individuals who hold the CRPC® designation have completed a course of study covering the entire retirement planning process, including pre- and post-retirement income needs, asset management, and estate planning considerations. The program uses real client scenarios to teach practical application of retirement planning concepts. Candidates must pass an end-of-course examination that tests their ability to apply these principles in real-world situations. Designees must also adhere to the Standards of Professional Conduct and renew the credential every two years by completing continuing-education requirements and fulfilling self-disclosure and ethical obligations.

## **Chartered Financial Analyst®**

The Chartered Financial Analyst® (CFA®) designation is an internationally recognized certification issued by the [CFA Institute](#). It focuses heavily on investment management, investment analysis, and asset valuation. Candidates must meet educational and experience prerequisites before enrolling. This typically includes holding a bachelor's degree or being within 23 months of graduation or having a combination of 4,000 hours of work experience and higher education acquired over at least three years. To earn the charter, candidates must pass three levels of exams that cover topics such as ethical and professional standards, quantitative methods, economics, corporate finance, and various investment assets (equity, fixed income, derivatives). Charterholders must have 4,000 hours of qualified work experience in the investment decision-making process. There are no ongoing continuing education or experience thresholds required to maintain the designation once it is awarded.

## **Certified Financial Planner®**

The Certified Financial Planner® (CFP®) designation is a certificate granted by the **Certified Financial Planner Board of Standards (CFP Board)**. It is considered an industry standard for financial planning. CFP professionals concentrate on long-term financial planning, working one-on-one with clients on areas such as investments, tax, estate planning, and insurance. Candidates must hold a bachelor's degree (or higher) from an accredited university and complete specific financial planning coursework. Candidates must pass a comprehensive qualifying exam that tests seven key areas of financial planning. There is a requirement of 6,000 hours of professional financial planning experience or 4,000 hours in a structured apprenticeship track. To maintain the designation, professionals must complete **30 hours of continuing education every two years**, including two hours dedicated to ethics. They are also bound by a fiduciary duty to serve their clients' best interests.

## **Chartered SRI Counselor®**

The Chartered SRI Counselor® (CSRIC®) designation is issued by the College for Financial Planning – A Kaplan Company. This designation focuses on Sustainable, Responsible, and Impact (SRI) investing. According to FINRA's database, the designation is **no longer offered but still supported** by the issuing organization. To maintain the CSRIC, holders must complete 16 credits of continuing education every two years.